

# EXPLANATION OF HOW KEY ELEMENTS OF THE BENCHMARK METHODOLOGY REFLECT ENVIRONMENTAL, SOCIAL, AND GOVERNANCE (ESG) FACTORS

Solactive Global Sustainability Leaders Performance-Index



This document provides an explanation of how the key elements of the benchmark methodology reflect ESG factors. It is compiled in accordance with the requirements of point (d) of Article 13 (1) of Regulation (EU) 2016/1011 of the European Parliament and of the Council of 8 June 2016 (the "Benchmarks Regulation") and of the Commission Delegated Regulation (EU) 2020/1817.

## General Information

<b>Name of the benchmark administrator</b>	Solactive AG
<b>Type of benchmark or family of benchmarks</b>	Equity
<b>Name of the benchmark or family of benchmarks</b>	Solactive Global Sustainability Leaders Performance-Index (DE000SLA3FG3)
<b>Does the benchmark methodology for the benchmark or family of benchmarks take into account ESG factors?</b>	Yes

## ESG Factors Applied in the Benchmark Methodology

<b>List of environmental factors considered</b>	<ul style="list-style-type: none"><li>&gt; The overall ESG score of a company is used in the selection of index components</li><li>&gt; Exclusion of companies violating the principles of the UN Global Compact</li><li>&gt; Exclusion of companies with significant and grave ESG controversies</li><li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of oil sands</li><li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from arctic oil and gas drilling</li><li>&gt; Exclusion of companies producing nuclear energy</li><li>&gt; Exclusion of companies producing nuclear energy, producing auxiliary products and services for the production of nuclear energy or selling nuclear energy.</li><li>&gt; Exclusion of companies generating energy from thermal coal or generating revenues from the mining of thermal coal</li><li>&gt; Exclusion of companies with any environmental supply chain controversies</li></ul>
<b>List of social factors considered</b>	<ul style="list-style-type: none"><li>&gt; The overall ESG score of a company is used in the selection of index components</li><li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of adult entertainment</li><li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of alcoholic beverages or &gt; 10% from the distribution of such products</li><li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from gambling</li></ul>



	<ul style="list-style-type: none"> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of tobacco and related products/services or &gt; 10% from the distribution of such products</li> <li>&gt; Exclusion of companies with any revenues from the production of controversial weapons or with &gt; 10% ownership in another company active in the area of controversial weapons</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from military equipment</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production and/or distribution of small fire arms</li> <li>&gt; Exclusion of companies any involvement in animal testing</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of fur and special leathers or &gt; 10% from the distribution of such products</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production of pesticides or &gt; 10% from the distribution of such products</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from development, production and/or cultivation of genetically modified plants and seeds</li> <li>&gt; Exclusion of companies with revenues (in proportion to total revenues) &gt; 0% from the production and/or distribution of palm oil</li> <li>&gt; Exclusion of companies with usury activities</li> </ul>
<p><b>List of governance factors considered</b></p>	<ul style="list-style-type: none"> <li>&gt; The overall ESG score of a company is used in the selection of index components</li> <li>&gt; Exclusion of companies violating the principles of the UN Global Compact</li> <li>&gt; Exclusion of companies with any supply chain controversies such as labor rights violations</li> <li>&gt; Exclusion of companies without any measures to monitor their supply chain introduced over the past three years</li> </ul>

## Data and Standards used

<p><b>Data Input</b></p>	<p>The data used to construct the index is sourced externally from Sustainalytics</p>
<p><b>Verification and quality of data</b></p>	<p>The provider of ESG-related data input is selected by the Administrator based on an assessment of its existing processes in order to ensure the reliability and representativeness of the ESG-related data. The data provider has established processes in accordance with accepted and established market standards that ensure the permanent quality and reliability of the ESG-data provided.</p>
<p><b>Reference standards</b></p>	<p>International standards referenced by the index methodology are listed in the respective section above.</p>



**Information updated on**

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**Reason for update:**

Initial document creation

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