

MARKET CONSULTATION - SOLACTIVE INNOVATIVE TECHNOLOGIES INDEX

08 August 2025



Content of the Market Consultation

Solactive AG has decided to conduct a Market Consultation with regard to changing the Index Methodology of the following Indices (the 'Indices'):

NAME	RIC	ISIN
Solactive Innovative Technologies Index NTR	.SOLITEK	DE000SLA5ZX1
Solactive Innovative Technologies Index PR	.SOLITEKP	DE000SLA5ZW3
Solactive Innovative Technologies Index TR	.SOLITEKT	DE000SLA5ZY9

Rationale for the Market Consultation

The Solactive Innovative Technologies Index provides exposure to companies that are involved in innovative and disruptive trends across a broad range of industries,

As these innovative technological trends can change or new trends can arise, as well as Solactive is of the opinion that the most technologically advanced companies should be part of the index, Solactive proposed the following changes:

- Changing the theme Name for "Robotics & Automation" to "AI & Robotics"
- Switching the starting universe for theme "Cloud Computing" to FactSet RBICS related universe
- Adding new themes "Defense Technology" and "Quantum Computing"
- Removing ESG exclusion criteria to enable high Innovative technology companies for inclusion to the index
- Aligning the starting universe to the Solactive Global Benchmarks Series Universe, Developed Markets and Emerging Markets, with the exclusion of China and India.

Proposed Changes to the Index Guideline

The following Methodology changes are proposed in the following points of the Index Guideline:

INTRODUCTION

From:

[...]

Companies eligible for inclusion in the Index:

(i) will derive assets or revenues from any of the following themes:



- | | |
|---------------------|---------------------------|
| 1. Blockchain; | 5. Genomics; |
| 2. Cloud Computing; | 6. Robotics & Automation; |
| 3. Cyber Security; | 7. Social Media; |
| 4. Future Cars; | 8. Digital Entertainment. |

[...]

To:

[...]

Companies eligible for inclusion in the Index:

(i) will derive assets or revenues from any of the following themes:

- | | |
|-----------------------|---------------------------|
| 1. Blockchain; | 5. Genomics; |
| 2. Cloud Computing; | 6. AI & Robotics; |
| 3. Cyber Security; | 7. Social Media; |
| 4. Future Cars; | 8. Digital Entertainment. |
| 9. Defence Technology | 10. Quantum Computing |

[...]

2.1. SELECTION OF THE INDEX COMPONENTS

From:

[...]

Cloud Computing	Index Component of the Solactive Cloud Technology Index [.SOLCTEK]
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[...]

To:

[...]



<p>Cloud Computing</p>	<p>Data Center Equity REITs Data Storage Drives and Peripherals Data Storage Infrastructure Software Disk Storage Systems Diversified Hosting Services General and Mixed-Type Software General Carrier Edge (Access) Equipment General Computer Hardware Manufacturing General Information Technology (IT) Consulting General Internet and Online Services Internet Department Stores Manufacturing Industry Software Network Administration Software Other Computer Systems Other Wide Area Networking (WAN) Equipment Productivity Software Smart Phone Manufacturing Software Development Software Web Development Software Makers Enterprise Management Software</p>
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Adding:

<p>Defence Technology</p>	<p>Government and Public Service Industry Software Defense Training and Testing Providers Satellite Systems Manufacturing Space and Missile Equipment Manufacturing</p>
<p>Quantum Computing</p>	<p>Quantum Computing Systems Quantum Processor Semiconductors</p>



From:

[...]

Except for the Index Category Cloud Computing, initially companies and sub-industries are selected using the FactSet Revere Business Industry Classification Systems ("RBICS"). See section 4 of this Document for the list of sub-industries included.

For the Index Category Cloud Computing, potential Index Components must be an Index Component in the Solactive Cloud Technology Index [.SOLCTEK].

[...]

To:

[...]

Initially companies and sub-industries are selected using the FactSet Revere Business Industry Classification Systems ("RBICS"). See section 4 of this Document for the list of sub-industries included.

[...]

Removing:

[...]

The initial list of companies are further refined:

Companies will be evaluated on the criteria outlined below. The evaluation is based on data provided by the DATA PROVIDER.

- UN Global Compact
 - o Companies not in compliance with UN Global Compact principles
- Controversial Weapons
 - o Companies involved in the production development or maintenance of Anti-personnel Mines, Biological or Chemical weapons, Cluster munitions, Depleted uranium, Nuclear Weapons, or any other weapon that violate humanitarian principles through normal use.
 - o Companies that produce or develop key and dedicated components for controversial weapons.
 - o Companies involved in the production and/or services tailor made for the defense industry or the military.
 - o Companies that hold $\geq 20\%$ stake in a company that is involved in controversial weapons.
 - o Companies currently $\geq 50\%$ owned by a company that is involved in controversial weapons.
- Conventional Weapons
 - o $>10\%$ revenue from the production or distribution of arms, both public and private



- Tobacco
 - o >5% revenues from tobacco manufacturing.
 - o >5% revenue from tobacco distribution and/or sale of tobacco products.
- Thermal Coal
 - o >10% revenues from the exploration mining or refining of thermal coal.
 - o Companies that base 30% or more of their operations on thermal coal.
 - o Companies that produce >20 million tons of thermal coal annually and are actively expanding.

All companies violating any of the exclusion criteria above are excluded. All companies for which an evaluation of these exclusion criteria is not possible due to insufficient and/or missing information or data are excluded.

[...]

4 DEFINITIONS

From:

The "**Index Universe**" in respect of a Selection Day are companies that fulfill the following criteria:

1. Listing on a regulated stock exchange in the form of shares tradable for foreign investors without restrictions.
2. Primary listing in one of the countries that are part of the Developed and Emerging Markets as defined by the Solactive Country Classification (see <https://www.solactive.com/documents/>). Stocks which are listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange must be available to purchase via the Stock Connect.
3. Minimum Security-level Market Capitalization of at least US Dollar 100 million.
4. A minimum Average Daily Value Traded of at least US Dollar 1 million over 1 months and over 6 months prior to and including the Selection Day.
5. For the Index Category Cloud Computing, potential Index Components must be an Index Component in the Solactive Cloud Technology Index [SOLCTEK].
6. For all other Index Categories, companies must be classified under any of the following FactSet Revere Business Industry Classification Systems ("RBICS") (sub)-industries:

[...]

To:

The "**Index Universe**" in respect of a Selection Day are companies that fulfill the following criteria:



1. Part of the latest selection of the Solactive GBS Global Markets All Cap USD Index PR (ISIN: DE000SLA78E2)
2. Primary listing in one of the countries that are part of the Developed and Emerging Markets as defined by the Solactive Country Classification (see <https://www.solactive.com/documents/>). Stocks which are listed in China or India are excluded from the Index Universe
3. Minimum Security-level Market Capitalization of at least US Dollar 100 million.
4. A minimum Average Daily Value Traded of at least US Dollar 1 million over 1 months and over 6 months prior to and including the Selection Day.
5. Companies must be classified under any of the following FactSet Revere Business Industry Classification Systems ("RBICS") (sub)-industries:

[...]

Removing:

[...]

"DATA PROVIDER" is Minerva Analytics Ltd. For more information, please visit: www.minerva.info.

[...]



Feedback on the proposed changes

If you would like to share your thoughts with Solactive, please use this consultation form and provide us with your personal details and those of your organization.

Name	
Function	
Organization	
Email	
Phone	
Confidentiality (Y/N)	

Solactive is inviting all stakeholders and interested third parties to evaluate the proposed changes to the Methodology for the aforementioned Solactive Indices and welcomes any feedback on how this may affect and/or improve their use of Solactive indices.



Consultation Procedure

Stakeholders and third parties who are interested in participating in this Market Consultation, are invited to respond until August 21, 2025.

Subject to feedback received on this Market Consultation, the changes mentioned above are intended to become effective on August 29, 2025.

Please send your feedback via email to marketconsultation@solactive.com, specifying "Market Consultation - Solactive Innovative Technologies Index" as the subject of the email, or

via postal mail to: Solactive AG
Platz der Einheit 1
60327 Frankfurt am Main
Germany

Should you have any additional questions regarding the consultative question in particular, please do not hesitate to contact us via above email address.

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